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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	Not yet assigned
				Filing Date	9/26/2001
				First Named Inventor	LEETE, ET AL.
				Group Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
				Attorney Docket Number	42390P12164
Sheet	1	of	1		

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09/965698

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/965,698
Filing Date	September 26, 2001
First Named Inventor	Brian A. Leete, et al.
Art Unit	2182
Examiner Name	Not yet assigned
Attorney Docket Number	042390.P12164

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Date Considered

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1 Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/965,698
Filing Date	September 26, 2001
First Named Inventor	Brian A. Leete, et al.
Group Art Unit	2182
Examiner Name	Not yet assigned
Attorney Docket Number	042390.P12164

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
chk		Enhanced Host Controller Interface Specification for Universal Serial Bus dated May 30, 2001, Revision 0.96 rc2, cover pages -iv and pages 1-142	
		IEEE Standard for a High Performance Serial Bus; IEEE Std 1394-1995; 30 August 1996; cover pages, pages 19-47	
		U.S. Patent Application Serial No. 09/361,677, entitled "Transaction Scheduling for a Bus System" by John I. Garney, et al., filed July 27, 1999 (44 pages of spec)	
		U.S. Patent Application Serial No. 09/362,991, entitled "Split Transaction Protocol for a Bus System" by John I. Garney, et al., filed July 27, 1999 (35 pages of spec)	
		U.S. Patent Application Serial No. 09/461,625, entitled "Tracking Transaction Status for a Bus System Providing Legacy Bus Compatibility" by John I. Garney, et al., filed December 14, 1999 (44 pages of spec)	
		U.S. Patent Application Serial No. 09/473,914, entitled "Transaction Scheduling for a Bus System in a Multiple Speed Environment" by John I. Garney, et al., filed December 28, 1999 (48 pages of spec)	

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		Application Number	09/965,698
		Filing Date	September 26, 2001
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		Art Unit	2182
		Examiner Name	Not yet assigned
		Attorney Docket Number	042390.P12164
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
[Handwritten: Initials and arrow pointing down]		US- 5694555	12-02-1997	Morriss et al.	
		US- 5,617,418	04-01-1997	Shirani et al.	
		US- 6389029 B1	05-14-2002	McAlear	
		US- 6145039	11-7-2000	Ajanovic et al.	
		US- 6034950	03-7-2000	Sauer et al.	
		US- 6205501 B1	03-20-2001	Brief et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature	[Handwritten Signature]	Date Considered	3/4/04
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				Application Number	09/965,698
				Filing Date	09/26/2001
				First Named Inventor:	Brian A. Leete
				Art Unit	2182
				Examiner Name	Unassigned
				Attorney Docket Number	42390P12164
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
chk		US-	5,936,967	08-10-1999	Baldwin et al.,	
		US-	6,069,872	05-30-2000	Bonomi et al.	
		US-	6,104,708	08-15-2000	Bergamo	
		US-	6,515,965 B1	02-04-2003	Hou et al.	
		US-	5,553,310	09-03-1996	Taylor et al.	
		US-	5,881,255	03-09-1999	Kondo et al.	
		US-	5,933,611	08-03-1999	Shakkarwar	
		US-	6,243,778 B1	06-05-2001	Fung et al.	
		US-	6,366,590 B2	04-02-2002	Hu	
		US-	5,564,114	10-08-1996	Popat et al.	
		US-	5,630,174	05-13-1997	Stone, III et al.	
		US-	6,098,134	08-01-2000	Michels et al.	
		US-	6,098,137	08-01-2000	Goodrum et al.	
		US-	6,266,731 B1	07-24-2001	Riley et al.	
		US-	6,067,591	05-23-2000	Howard et al.	

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Examiner Signature		Date Considered	3/4/04
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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 Sheet 1 of 1 Attorney Docket Number 42390P12164

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
chl		US-	6,012,115	01-04-2000	Chambers et al.	
chl		US-	6,067,591	05-23-2000	Howard et al.	
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